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RESPONSE TO NOTICE OF MISSING

TRANSMITTAL LETTER -

Attorney Docket No.: 018048-001710

7/15

Assistant Commissioner for Patents

Attn: Box Missing Parts Washington, D.C. 20231

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

PARTS

In re application of:

HAYDOCK and U'REN

Application No.: 10/077,383

Filed: February 15, 2002

For: NUCLEIC ACID

AMPLIFICATION USING AN RNA POLYMERASE AND DNA/RNA MIXED POLYMER INTERMEDIATE

PRODUCTS

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated April 29, 2002, enclosed are the following to be made of record in the above-identified application:

1) Executed Declaration w/ Supplemental ADS;

2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b) with copy of Assignment not for recordation (submitted separately to Assignment Branch for recordation);

3) Verified Statement Claiming Small Entity Status;

4) Information Disclosure Statement and form PTO/SB/08B with refs. A&B;

HAYDOCK and U'REN Application No.: 10/077,383

Page +

- 5) Hard Copy of Sequence Listing with diskette and Communication with Preliminary Amendment sent concurrently this date to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 (not enclosed);
- 6) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity:

- (a) Filing Fee (§ 1.16(a)) (Small Entity)
- (b) Excess Claims Fees (§ 1.16(b), (c)):

- = x = - = x =

(c) Missing Parts Surcharge

65.00

TOTAL FEES TO BE CHARGED

65.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Beth L. Kelly Reg. No. P-51,868

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415 576-0300

BLK:ihd

SF 1361401 v1

I hereby certify that this correspondence is being deposited with the United

Attorney Docket No.: 018048-00171

U.S. Patent and Trademark Office

Box SEQUENCE

P.O. Box 2327

Arlington, VA 22202

TOWNSEND and TOWNSEND and CREW LLP

States Postal Service as first class mail in an envelope addressed to:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HAYDOCK and U'REN

Application No.: 10/077,383

Filed: February 15, 2002

For: NUCLEIC ACID AMPLIFICATION USING AN RNA POLYMERASE AND DNA/RNA MIXED POLYMER INTERMEDIATE

PRODUCTS

Examiner:

Not yet assigned

Art Unit:

1646

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

PARTS

U.S. Patent and Trademark Office **Box SEQUENCE** P.O. Box 2327 Arlington, VA 22202

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated April 29, 2002, enclosed are the following to be made of record in the above-identified application:

- 1) Communication under 37 CFR §§1.821-1.825 and Preliminary Amendment;
- 2) Hard Copy of Sequence Listing with diskette;
- 3) Executed Declaration and payment for surcharge fee were sent concurrently this date to Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 and are not enclosed; and

Haydock et al. Application No.: 10/077,383

Page 2

4) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity:

- (a) Filing Fee (§ 1.16(a)) (Small Entity)
- (b) Excess Claims Fees (§ 1.16(b), (c)):

- = x = - = x =

(c) Missing Parts Surcharge (fee paid in concurrent submission, see item 3) on first page)

TOTAL FEES TO BE CHARGED

0

0

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Beth L. Kelly Reg. No. P-51,868

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 415-576-0200

Fax: 415 576-0300

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WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER 10/077,38 JUL 0 8 2002

LING/RECEIPT DATE 02/15/2002

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Paul V. Haydock

018048-001710US

20350

01 FC:205

TOWNSEND AND TOWNSEND ND CREW, LLP TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 3693

FORMALITIES LETTER

OC000000007971875

Date Mailed: 04/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/11/2002 EAREGAY1 00000138 201430 10077383

65.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE